

# A SEMANTICS FOR CONDITIONALS WITH DEFAULT NEGATION

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## Cars



Car icon by Freepik  
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Gasoline icon by Mitchell Geere  
from The Noun Project

## MOTIVATING EXAMPLE

- *Cars* typically have a *gasoline* engine.



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- *Electric* driven cars typically have an *electric* engine instead.



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- *Hybrid* cars may feature *both* engine types, but don't necessarily have to.



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⇒ Would you expect a hybrid car to have a gasoline engine or not?

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⇒ Would you expect a hybrid car to have a gasoline engine or not?

Given the above information,  
we'd like to have an epistemic state which is indifferent about this.



## FORMAL CONDITIONAL ASSERTIONS

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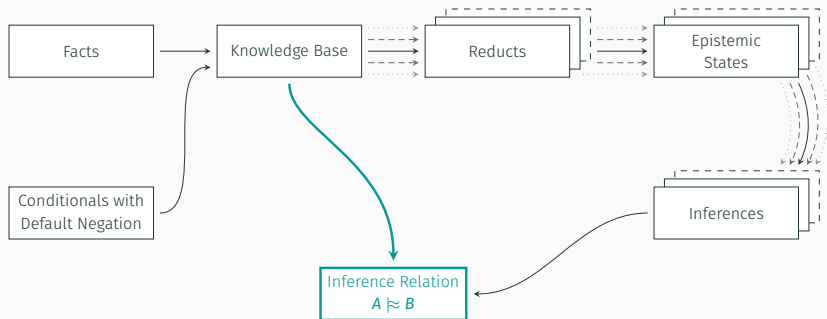
$A \Rightarrow B$	Material Implication	“If $A$ holds, then $B$ ” ( <b>No</b> exceptions)
$B \leftarrow A, \text{ not } C$	Default Negation	“If $A$ holds, and $C$ is not provable, then $B$ ” ( <b>Explicit</b> exceptions)
$(B A)$	Conditional	“If $A$ holds, then usually $B$ ” ( <b>Implicit</b> exceptions)

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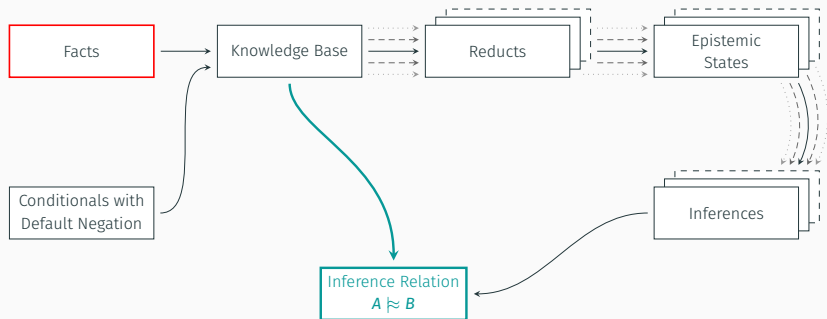
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$(B A)$	Conditional	“If $A$ holds, then usually $B$ ” ( <b>Implicit</b> exceptions)
$(B A, \text{ not } C)$	Conditional	“If $A$ holds, and $C$ is not provable, then usually $B$ ” ( <b>Both types</b> of exceptions)

# OVERVIEW



# OVERVIEW



We use a *standard propositional logic* with

- A finite propositional alphabet  $\Sigma = \{V_1, \dots, V_m\}$ ,
- The usual logical connectives  $\wedge, \vee, \neg$ , and
- A language  $\mathcal{L}$  of literals from  $\Sigma$  closed under these connectives.

We represent the set of *possible worlds*  $\Omega$  syntactically with complete conjunctions of literals of  $\Sigma$ .

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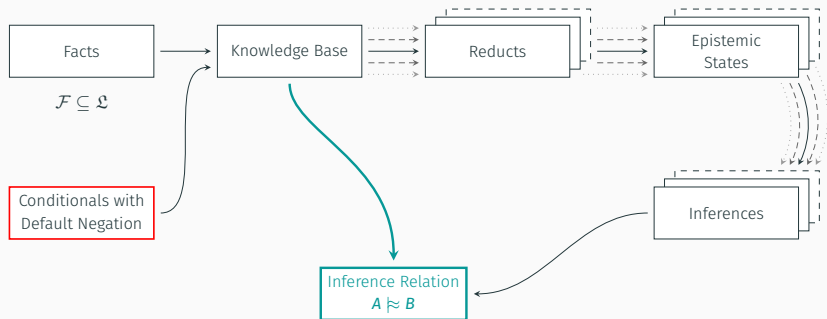
### Example (Possible Worlds)

Let  $\Sigma = \{E, G, H\}$  be the alphabet of our running example of electric and gasoline engines and hybrid cars.

The possible worlds for this alphabet are:

$$\Omega = \{ egh, eg\bar{h}, e\bar{g}h, e\bar{g}\bar{h}, \bar{e}gh, \bar{e}g\bar{h}, \bar{e}\bar{g}h, \bar{e}\bar{g}\bar{h} \}.$$

# OVERVIEW



- Conditionals ( $B|A$ ) encode defeasible rules “*If A then usually B*”.
- Three-valued evaluation by worlds [Fin74]:

$$\llbracket (B|A) \rrbracket_{\omega} = \begin{cases} \textit{true} & \text{iff } \omega \models AB \quad (\text{“Rule verified”}) \\ \textit{false} & \text{iff } \omega \models A\bar{B} \quad (\text{“Rule violated”}) \\ \textit{undefined} & \text{iff } \omega \models \bar{A} \quad (\text{“Rule not applicable”}) \end{cases}$$



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## Example (Formalizing the Introductory Example)

$(e|h)$ : “Hybrids usually have an electric engine.”

$(gh|e(gh \vee \bar{g}\bar{h}))$ : “Hybrid cars with both an electric and a gasoline engine are more prominent than non-hybrids with only an electric engine.”

### Definition

Let  $A, B \in \mathcal{L}$  be formulas, let  $\mathcal{D} \subseteq \mathcal{L}$  be a set of formulas.

$(B \mid A, \text{not } \mathcal{D})$  is a *conditional with default negation*.

If  $\mathcal{D} = \emptyset$ , we write  $(B \mid A)$  instead of  $(B \mid A, \text{not } \emptyset)$ .

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$(\bar{g} | e)$ : “Electric cars typically don’t have a gasoline engine.”

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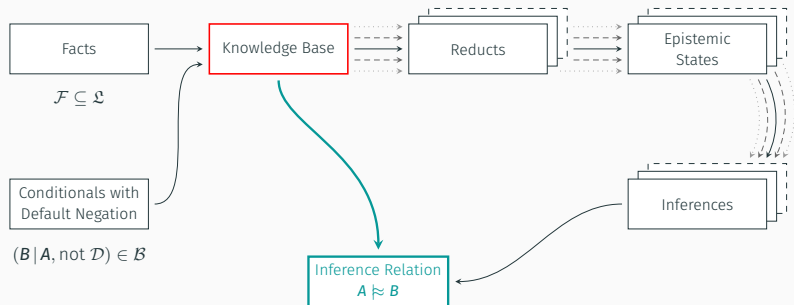
## Example (Formalizing the Introductory Example (contd.))

$(e | h)$ : “Hybrids usually have an electric engine.”

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$(\bar{g} | e, \text{not } \{h\})$ : “Electric cars typically don’t have a gasoline engine — unless they are hybrids.”

# OVERVIEW



### Definition

A *knowledge base*  $\mathcal{R}$  is comprised of

- a set of formulas  $\mathcal{F}_{\mathcal{R}}$  (*facts*) and
- a set of conditionals with default negation  $\mathcal{B}_{\mathcal{R}}$  (*beliefs*).

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## Example (Formalizing the Introductory Example (contd.))

Facts:  $h \Rightarrow e$                       Hybrids are cars with an electric engine.

Beliefs:  $(\bar{g} | e, \text{not } \{h\})$               Electric cars typically don't have a gasoline engine — unless they are hybrids.

$(gh | e(gh \vee \bar{g}\bar{h}))$               Cars with electric engines are more likely to be hybrids with a gasoline engine than non-hybrids without.

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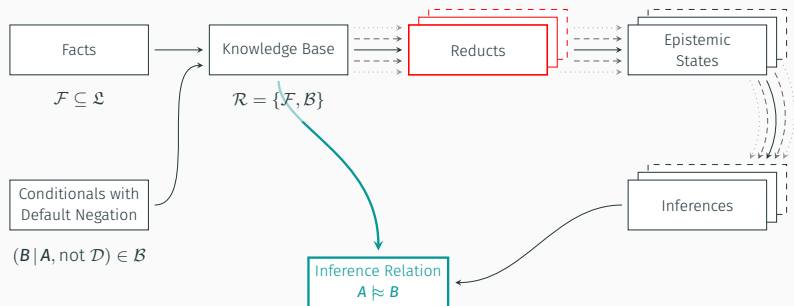
$(gh | e(gh \vee \bar{g}\bar{h}))$               Cars with electric engines are more likely to be hybrids with a gasoline engine than non-hybrids without.

Together, we have

$$\mathcal{R} = \left\{ \underbrace{\{h \Rightarrow e\}}_{\mathcal{F}}, \underbrace{\{(\bar{g} | e, \text{not } \{h\}), (gh | e(gh \vee \bar{g}\bar{h}))\}}_{\mathcal{B}} \right\}$$



# OVERVIEW



**Definition**

The *reduct*  $\mathcal{R}^S = (\mathcal{F}_{\mathcal{R}}, \mathcal{B}_{\mathcal{R}}^S)$  of  $\mathcal{R}$  by some formula  $S \in \mathcal{L}$  is the knowledge base  $\mathcal{R}$  with its set of beliefs  $\mathcal{B}_{\mathcal{R}}$  being replaced by

$$\mathcal{B}_{\mathcal{R}}^S = \{(B|A) \mid (B|A, \text{not } D) \in \mathcal{B}_{\mathcal{R}} \text{ and } \forall D \in \mathcal{D} : \{S\} \cup \mathcal{F}_{\mathcal{R}} \not\models D\}.$$

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## Example (Reducts in the Car Example)

For the knowledge base

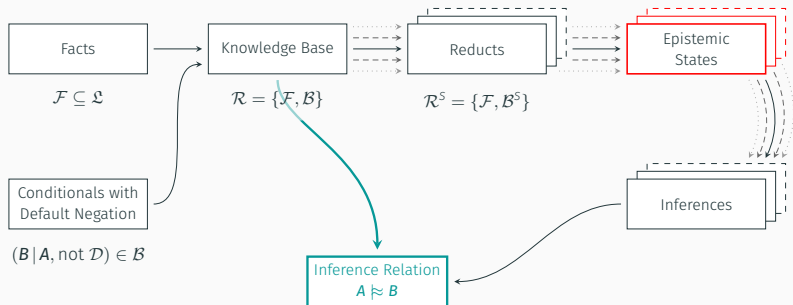
$$\mathcal{R} = \{ \{h \Rightarrow e\}, \{(\bar{g}|e, \text{not } \{h\}), (gh|e(gh \vee \bar{g}\bar{h}))\} \}$$

we have the reducts

$$\mathcal{B}^S = \left\{ \begin{array}{l} (\bar{g}|e, \text{not } \{h\}) \\ (gh|e(gh \vee \bar{g}\bar{h})) \end{array} \right\} \text{ and } \mathcal{B}^{S'} = \left\{ \begin{array}{l} (\bar{g}|e, \text{not } \{h\}), \\ (gh|e(gh \vee \bar{g}\bar{h})) \end{array} \right\}$$

for any formulas  $S$  with  $S \models h$  and  $S'$  with  $S' \not\models h$ .

# OVERVIEW



An Ordinal Conditional Function (OCF) or *ranking function*  $\kappa$  is a function that assigns a *degree of disbelief* to each world  $\omega \in \Omega$ .

## Definition (OCF [Spo88])

$\kappa := \Omega \rightarrow \mathbb{N}_0^\infty$  such that:

$$\kappa^{-1}(0) \neq \emptyset$$

$$\kappa(A) = \min\{\kappa(\omega) \mid \omega \models A\}$$

$$\kappa(B \mid A) = \kappa(AB) - \kappa(A)$$

$$\kappa \models (B \mid A) \text{ iff } \kappa(AB) < \kappa(A\bar{B})$$

# ORDINAL CONDITIONAL FUNCTIONS (OCF)

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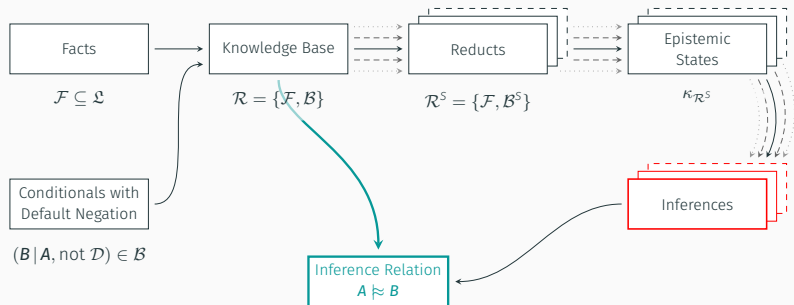
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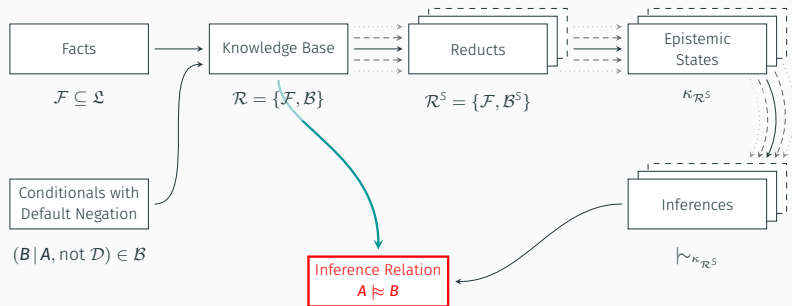
## Example (Car Ranking)

$\bar{e}gh, \bar{e}\bar{g}h$	$\kappa(\omega) = \infty$
$e\bar{g}\bar{h}$	$\kappa(\omega) = 2$
$egh, eg\bar{h}$	$\kappa(\omega) = 1$
$e\bar{g}h, \bar{e}g\bar{h}, \bar{e}\bar{g}\bar{h}$	$\kappa(\omega) = 0$

# OVERVIEW



# OVERVIEW





## Definition

$A$  infers  $B$  in the context of a knowledge base  $\mathcal{R}$  with conditionals with default negation iff  $A$  infers  $B$  in the epistemic state of the reduct  $\mathcal{R}^A$ :  $A \approx B$  iff  $A \sim_{\mathcal{R}^A} B$ .

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## Example (Inference in the Car Example)

$$\mathcal{R} = \left\{ \{h \Rightarrow e\}, \{(\bar{g}|e, \text{not } \{h\}), (gh|e(gh \vee \bar{g}\bar{h}))\} \right\}$$

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$\kappa(\omega)$

$\infty$	$\bar{e}gh, \bar{e}\bar{g}h$
1	$e\bar{g}\bar{h}$
0	$egh, eg\bar{h},$ $e\bar{g}h, \bar{e}g\bar{h}, \bar{e}\bar{g}\bar{h}$

# INFERENCE WITH CONDITIONALS WITH DEFAULT NEGATION

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$\kappa(\omega)$

$\infty$

$\bar{e}gh, \bar{e}\bar{g}\bar{h}$

1

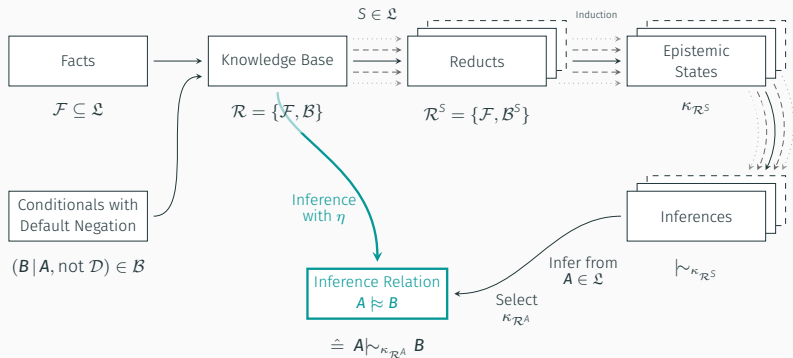
$e\bar{g}\bar{h}$

0

$egh, eg\bar{h},$   
 $e\bar{g}h, \bar{e}g\bar{h}, \bar{e}\bar{g}\bar{h}$

$\Rightarrow$  Hybrid cars *may or may not* have a gasoline engine; they are *indifferent* towards a property of their superclass!  
 $h \not\approx g$  and  $h \not\approx \bar{g}$

# OVERVIEW



The inference relation  $\approx$  satisfies the following formal properties:

- (LLE)  $A \equiv B$  and  $A \approx C$  imply  $B \approx C$
- (RW)  $B \models C$  and  $A \approx B$  imply  $A \approx C$
- (AND)  $A \approx B$  and  $A \approx C$  imply  $A \approx BC$
- (MPC)  $A \approx B$  and  $A \approx B \Rightarrow C$  imply  $A \approx C$

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# PROPERTIES OF THE INFERENCE RELATION

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- (MPC)  $A \approx B$  and  $A \approx B \Rightarrow C$  imply  $A \approx C$

However, the relation neither satisfies (CUT) nor (CM) in general. These can be satisfied under certain restrictions, however:

- (CM)  $A \approx B$  and  $A \approx C$  imply  $AB \approx C$
  - (CUT)  $A \approx B$  and  $AB \approx C$  imply  $A \approx C$
- given  $\mathcal{R}^A = \mathcal{R}^{AB}$

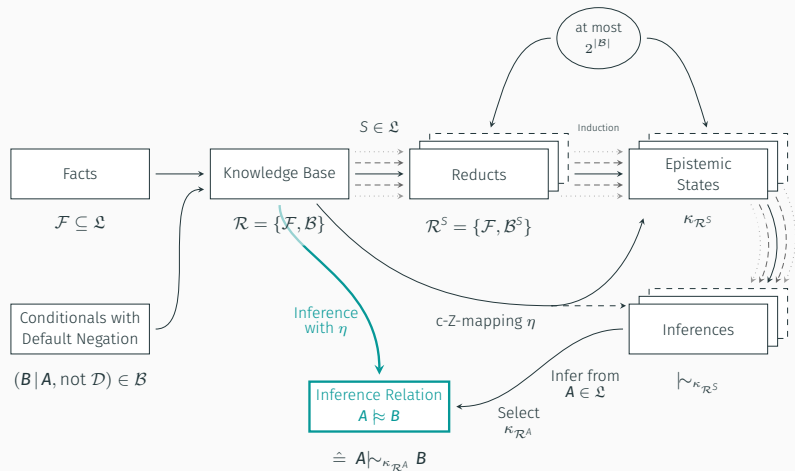


We...

- ... introduced *default negation* (known from answer set programming) into *conditionals*.  
→ Proper expansion of the conditional language
- ... defined a novel *inference relation* on top of these conditionals  
→ Formal properties in the paper.
- ... are now capable of modeling exceptions such as subclass indifference (e.g., hybrid cars).



# THE WHOLE PAPER ON ONE SLIDE





Bruno de Finetti.

***Theory of Probability, volume 1,2.***

John Wiley and Sons, New York, NY, USA, 1974.



Wolfgang Spohn.

**Ordinal Conditional Functions: A Dynamic Theory of Epistemic States.**

*In Causation in Decision, Belief Change and Statistics: Proceedings of the Irvine Conference on Probability and Causation, volume 42 of The Western Ontario Series in Philosophy of Science, pages 105–134, Dordrecht, NL, 1988.*  
Springer Science+Business Media.